**2021 SENATE JUDICIARY** 

SB 2034

#### 2021 SENATE STANDING COMMITTEE MINUTES

## **Judiciary Committee**

Peace Garden Room, State Capitol

SB 2034 1/12/2021

A BILL for an Act to create and enact a new section of the North Dakota Century Code, relating to written advisory opinions regarding ethics.

10:29 AM Chairwoman Larson called the hearing to order.

Senators are present: Myrdal, Luick, Dwyer, Fors, Bakke, Heitkamp, Larson

#### **Discussion Topics:**

- Ethics legislation
- Advisory opinions

Representative Klemin, District 47, testified in favor #528, #526. [10:29am]

David Thiele, Executive Director of the Ethics Commission, testified in favor #442. [10:37am]

**Senator Myrdal** proposes an amendment. [LC 21.0080.02002] [10:47am]

Senator Luick seconded the motion. [10:47am]

Roll Call Vote

Motion Passes 7-0-0

Senators	Vote
Senator Diane Larson	Υ
Senator Michael Dwyer	Υ
Senator JoNell A. Bakke	Υ
Senator Robert O. Fors	Υ
Senator Jason G. Heitkamp	Υ
Senator Larry Luick	Υ
Senator Janne Myrdal	Υ

**Senator Dwyer** moves DO PASS AS AMENDED (10:50am) **Senator Heitkamp** seconded the motion (10:51am)

Roll Call Vote

Motion passes 7-0-0

Senator Dwyer will carry the bill

Senators	Vote
Senator Diane Larson	Υ
Senator Michael Dwyer	Υ
Senator JoNell A. Bakke	Υ
Senator Robert O. Fors	Υ
Senator Jason G. Heitkamp	Υ
Senator Larry Luick	Υ
Senator Janne Myrdal	Υ

Meeting Adjourned [10:51]

Jamal Omar, Committee Clerk



21.0080.02002 Title.04000 Prepared by the Legislative Council staff for Senate Judiciary Committee January 12, 2021

### PROPOSED AMENDMENTS TO SENATE BILL NO. 2034

Page 1, line 20, after "are" insert "substantially"

Page 2, line 2, replace "or" with "and"

Module ID: s\_stcomrep\_04\_014
Carrier: Dwyer

Insert LC: 21.0080.02002 Title: 04000

#### REPORT OF STANDING COMMITTEE

SB 2034: Judiciary Committee (Sen. Larson, Chairman) recommends AMENDMENTS AS FOLLOWS and when so amended, recommends DO PASS (7 YEAS, 0 NAYS, 0 ABSENT AND NOT VOTING). SB 2034 was placed on the Sixth order on the calendar.

Page 1, line 20, after "are" insert "substantially"

Page 2, line 2, replace "or" with "and"

# TESTIMONY OF REP. LAWRENCE R. KLEMIN SENATE JUDICIARY COMMITTEE SENATE BILL NO. 2034 JANUARY 12, 2021

Members of the Senate Judiciary Committee, I am Lawrence R. Klemin, Representative from District 47 in Bismarck. I am here to testify in support of Senate Bill No. 2034, relating to written advisory opinions by the North Dakota Ethics Commission. This bill came from the interim Judiciary Committee during a study of Article XIV of the North Dakota Constitution, an initiated measure relating to ethics for public officials, candidates for elected office, and lobbyists.

This bill was suggested by the Executive Director of the North Dakota Ethics Commission at a meeting of the interim Judiciary Committee. There have been many questions about the scope of Article XIV of the North Dakota Constitution and what conduct may or may not be ethical. The bill provides a procedure for requesting written ethics advisory opinions from the North Dakota Ethics Commission.

The American Bar Association (ABA) and many State bar associations, including the State Bar of Association of North Dakota (SBAND), have procedures for the issuance of ethics opinions. This bill is modeled on the SBAND procedure. SBAND has an Ethics Committee to which requests for opinions can be submitted in writing. The requests are made for the purpose of obtaining interpretations of the rules based on actual or hypothetical facts.

Lawyers are subject to the North Dakota Rules of Professional Conduct and can be disciplined for violation of the Rules. These rules are available on the website of the North Dakota Supreme Court at <a href="https://www.ndcourts.gov/legal-resources/rules/ndrprofconduct">https://www.ndcourts.gov/legal-resources/rules/ndrprofconduct</a>. North Dakota also has Rules for Lawyer Discipline, which are available at <a href="https://www.ndcourts.gov/legal-resources/rules/ndrlawyerdiscipl">https://www.ndcourts.gov/legal-resources/rules/ndrlawyerdiscipl</a>.

The ABA and each state bar association, including SBAND, publish ethics opinions in response to requests for advice from lawyers concerning interpretation of the Rules of Professional Conduct. The ethics opinions are advisory and not enforceable as law but can be cited to show how a bar association has interpreted a specific provision and can be relied upon by lawyers in their conduct. Rule 1.2 of the North Dakota Rules for Lawyer Discipline sets forth the grounds for which a lawyer can be disciplined for misconduct. Rule 1.2 (B) provides an exception to lawyer discipline as follows:

"A lawyer who acts with good faith and reasonable reliance on a written opinion or advisory letter of the ethics committee of the association is not subject to sanction for violation of the North Dakota Rules of Professional Conduct as to the conduct that is the subject of the opinion or advisory letter."

Ethics opinions of SBAND are published in *The Gavel*, which is the monthly SBAND journal, and are available on the SBAND website at <a href="https://www.sband.org/page/ethics\_opinions">https://www.sband.org/page/ethics\_opinions</a>.

This bill is enabling legislation for ethics advisory opinions and provides that the North Dakota Ethics Commission has the authority to issue written advisory opinions in response to written requests from public officials, candidates for elected office, and lobbyists. Opinions can be based on actual or hypothetical facts, but not on past conduct. The issuance of an advisory opinion is discretionary with the Ethics Commission. Within 14 days after the receipt of a request for an opinion, the Ethics Commission must notify the requester whether an opinion will be provided. The Ethics Commission then has 90 days to issue the opinion.

The bill provides that criminal and civil penalties may not be imposed upon an individual who acts in accordance with an ethics advisory opinion. The opinions are required to be made available to the public on the website of the Ethics Commission or on another readily available medium.

This bill creates a safe harbor concerning the scope of Article XIV, the statutes, and the rules of the Ethics Commission relating to transparency, corruption, elections, and lobbying, and provides a means for obtaining written interpretations of that scope that the public and the regulated officials and lobbyists can rely on.

I have an amendment set out below that I ask you to consider. The bill currently provides in subsection 4(b) on page 1, line 20, that penalties may not be imposed for conduct if the material facts are the "same" as the facts presented in an advisory opinion. Upon reflection, to require conduct that is identical to facts in an opinion is too strict a standard. It may be unlikely that the exact same facts will occur. Consequently, there is a need for some flexibility. The proposed amendment would change the standard to "substantially the same".

I would be happy to answer any questions that you may have concerning this bill. Thank you.

PROPOSED AMENDMENT TO SENATE BILL NO. 2034

Page 1, line 20, after "are" insert "substantially"

21.0080.02001 Title. Prepared by the Legislative Council staff for Representative Klemin January 11, 2021

### PROPOSED AMENDMENTS TO SENATE BILL NO. 2034

Page 1, line 20, after "are" insert "substantially"

#442

# TESTIMONY OF DAVE THIELE EXECUTIVE DIRECTOR, NORTH DAKOTA ETHICS COMMISSION BEFORE THE

#### SENATE JUDICIARY COMMITTEE

**January 12, 2021** 

Mr. Chairman and Members of the Judiciary Committee, my name is Dave Thiele, Executive Director of the North Dakota Ethics Commission. SB 2034 is the result of a combined effort between Representative Klemin and the Ethics Commission to address a significant gap in the ability of the Commission fulfil its constitutional mandate.

The ability to issue advisory opinions that can be relied on by public officials and then to publish opinions for education is an important aspect of an effective ethics program. SB 2034 provides authority to the Commission to issue written advisory opinions in response to written requests from public officials, candidates for elected office, and lobbyists. Opinions can be based on actual or hypothetical facts, but not on past conduct. The issuance of an advisory opinion is discretionary with the Ethics Commission. Within 14 days after the receipt of a request for an opinion, the Ethics Commission must notify the requester whether an opinion will be provided and then has 90 days to issue the opinion. The bill provides that criminal and civil penalties may not be imposed upon an individual who acts, in good faith, in accordance with an ethics advisory opinion. The opinions are required to be made available to the public on the website of the Ethics Commission or on another readily available medium which will serve to educate other public officials. This language fills a gap concerning the scope of Article XIV, the statutes, and the rules of the Ethics Commission relating to transparency, corruption, elections, and lobbying, and provides a means for written interpretations of that scope that the public can rely on.

Mr. Chairman, Members of the Judiciary Committee, I would be happy to answer any questions.

### 2021 SENATE STANDING COMMITTEE MINUTES

## **Judiciary Committee**

Peace Garden Room, State Capitol

SB 2034 1/13/2021

A BILL for an Act to create and enact a new section to chapter 54-66 of the North Dakota Century Code, relating to written advisory opinions regarding ethics.

Meeting called to order, Senators present: Myrdal, Dwyer, Bakke, Heitkamp, Fors, and Larson [2:00]

### **Discussion Topics:**

- Jurisdiction of ethics commission
- Establishment of commission responsibilities

**Senator Myrdal** motioned to reconsider actions taken [2:01]

**Senator Heitkamp** seconded the motion to reconsider [2:01]

Vote to reconsider SB 2034	Vote
Senator Diane Larson	Υ
Senator Michael Dwyer	Υ
Senator JoNell A. Bakke	Υ
Senator Robert O. Fors	Υ
Senator Jason G. Heitkamp	Υ
Senator Larry Luick	Α
Senator Janne Myrdal	Υ

Motion passed 6-0-1.

Bill will be heard Monday the 18th of January

Meeting adjourned [2:13]

Jamal Omar, Committee Clerk

### 2021 SENATE STANDING COMMITTEE MINUTES

## **Judiciary Committee**

Peace Garden Room, State Capitol

SB 2034 1/20/2021

A BILL for an Act to create and enact a new section to chapter 54-66 of the North Dakota Century Code, relating to written advisory opinions regarding ethics.

**Chairwoman Larson** calls the hearing to order. Present are Chair Larson, Vice Chair Dwyer, Senators Bakke, Fors, Heitkamp, Luick, and Myrdal. [2:27]

### **Discussion Topics:**

- Proposed amendments
- Ethics Commission in the state of North Dakota

**Senator Myrdal [2:26]** motion to adopt the amendment. [LC 21.0080.02003] **Senator Dwyer [2:29]** seconds.

Senators	Vote
Senator Diane Larson	Υ
Senator Michael Dwyer	Υ
Senator JoNell A. Bakke	Υ
Senator Robert O. Fors	Υ
Senator Jason G. Heitkamp	Υ
Senator Larry Luick	Υ
Senator Janne Myrdal	Υ

Motion passes 7-0-0.

**Senator Myrdal [2:30]** motions DO PASS AS AMENDED. **Senator Luick [2:30]** seconds.

Senators	Vote
Senator Diane Larson	Υ
Senator Michael Dwyer	Υ
Senator JoNell A. Bakke	Υ
Senator Robert O. Fors	Υ
Senator Jason G. Heitkamp	Υ
Senator Larry Luick	Υ
Senator Janne Myrdal	Υ

Vote Passes 7-0-0. [2:30] **Senator Dwyer** will carry.

Senate Judiciary Committee SB 2034 1/20/21 Page 2

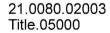
# Additional written testimony:

Rep. Lawrence Klemin submits testimony #528, and #526 in favor.

David Thiele, ND Ethics Commission Executive Director, submits testimony #442 in favor.

**Senator Larson** closes the meeting. [2:32]

Jamal Omar, Committee Clerk



# Adopted by the Judiciary Committee January 20, 2021



#### PROPOSED AMENDMENTS TO SENATE BILL NO. 2034

Page 1, line 9, remove "a"

Page 1, line 9, remove "set of"

Page 1, line 9, after "hypothetical" insert "facts"

Page 1, line 9, replace "actual facts" with "prospective conduct"

Page 1, line 20, after "are" insert "substantially"

Page 1, line 20, replace the second "facts" with "conduct"

Page 2, line 2, replace "or in another medium readily available" with "that is accessible"

Module ID: s\_stcomrep\_15\_005 Carrier: Myrdal

Insert LC: 21.0080.02003 Title: 05000

#### **REPORT OF STANDING COMMITTEE**

SB 2034: Judiciary Committee (Sen. Larson, Chairman) recommends AMENDMENTS AS FOLLOWS and when so amended, recommends DO PASS (7 YEAS, 0 NAYS, 0 ABSENT AND NOT VOTING). SB 2034 was placed on the Sixth order on the calendar.

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# TESTIMONY OF REP. LAWRENCE R. KLEMIN SENATE JUDICIARY COMMITTEE SENATE BILL NO. 2034 JANUARY 12, 2021

Members of the Senate Judiciary Committee, I am Lawrence R. Klemin, Representative from District 47 in Bismarck. I am here to testify in support of Senate Bill No. 2034, relating to written advisory opinions by the North Dakota Ethics Commission. This bill came from the interim Judiciary Committee during a study of Article XIV of the North Dakota Constitution, an initiated measure relating to ethics for public officials, candidates for elected office, and lobbyists.

This bill was suggested by the Executive Director of the North Dakota Ethics Commission at a meeting of the interim Judiciary Committee. There have been many questions about the scope of Article XIV of the North Dakota Constitution and what conduct may or may not be ethical. The bill provides a procedure for requesting written ethics advisory opinions from the North Dakota Ethics Commission.

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The bill provides that criminal and civil penalties may not be imposed upon an individual who acts in accordance with an ethics advisory opinion. The opinions are required to be made available to the public on the website of the Ethics Commission or on another readily available medium.

This bill creates a safe harbor concerning the scope of Article XIV, the statutes, and the rules of the Ethics Commission relating to transparency, corruption, elections, and lobbying, and provides a means for obtaining written interpretations of that scope that the public and the regulated officials and lobbyists can rely on.

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I would be happy to answer any questions that you may have concerning this bill. Thank you.

PROPOSED AMENDMENT TO SENATE BILL NO. 2034

Page 1, line 20, after "are" insert "substantially"

21.0080.02001 Title. Prepared by the Legislative Council staff for Representative Klemin January 11, 2021

### PROPOSED AMENDMENTS TO SENATE BILL NO. 2034

Page 1, line 20, after "are" insert "substantially"

# TESTIMONY OF DAVE THIELE EXECUTIVE DIRECTOR, NORTH DAKOTA ETHICS COMMISSION BEFORE THE

#### SENATE JUDICIARY COMMITTEE

**January 12, 2021** 

Mr. Chairman and Members of the Judiciary Committee, my name is Dave Thiele, Executive Director of the North Dakota Ethics Commission. SB 2034 is the result of a combined effort between Representative Klemin and the Ethics Commission to address a significant gap in the ability of the Commission fulfil its constitutional mandate.

The ability to issue advisory opinions that can be relied on by public officials and then to publish opinions for education is an important aspect of an effective ethics program. SB 2034 provides authority to the Commission to issue written advisory opinions in response to written requests from public officials, candidates for elected office, and lobbyists. Opinions can be based on actual or hypothetical facts, but not on past conduct. The issuance of an advisory opinion is discretionary with the Ethics Commission. Within 14 days after the receipt of a request for an opinion, the Ethics Commission must notify the requester whether an opinion will be provided and then has 90 days to issue the opinion. The bill provides that criminal and civil penalties may not be imposed upon an individual who acts, in good faith, in accordance with an ethics advisory opinion. The opinions are required to be made available to the public on the website of the Ethics Commission or on another readily available medium which will serve to educate other public officials. This language fills a gap concerning the scope of Article XIV, the statutes, and the rules of the Ethics Commission relating to transparency, corruption, elections, and lobbying, and provides a means for written interpretations of that scope that the public can rely on.

Mr. Chairman, Members of the Judiciary Committee, I would be happy to answer any questions.

**2021 HOUSE GOVERNMENT AND VETERANS AFFAIRS** 

SB 2034

### 2021 HOUSE STANDING COMMITTEE MINUTES

#### **Government and Veterans Affairs Committee**

Pioneer Room, State Capitol

SB 2034 3/12/2021

Relating to written advisory opinions regarding ethics

Chairman Kasper opened the hearing at 8:31 a.m.

Representatives	Roll Call
Representative Jim Kasper	Р
Representative Ben Koppelman	Р
Representative Pamela Anderson	Р
Representative Jeff A. Hoverson	Α
Representative Karen Karls	Р
Representative Scott Louser	Р
Representative Jeffery J. Magrum	Р
Representative Mitch Ostlie	Р
Representative Karen M. Rohr	Р
Representative Austen Schauer	Р
Representative Mary Schneider	Р
Representative Vicky Steiner	Р
Representative Greg Stemen	Р
Representative Steve Vetter	Р

# **Discussion Topics:**

- Scope of Article XIV
- Ethical conduct

Rep. Klemin introduced and testified in favor, #8882.

**David Thiele, Executive Director, ND Ethics Commission,** testified in favor, #8810, #8939.

Chairman Kasper ended at 9:08 a.m.

Carmen Hart, Committee Clerk

# TESTIMONY OF REP. LAWRENCE R. KLEMIN HOUSE GVA COMMITTEE SENATE BILL NO. 2034 MARCH 12, 2021

Members of the House GVA Committee, I am Lawrence R. Klemin, Representative from District 47 in Bismarck. I am here to testify in support of Senate Bill No. 2034, relating to written advisory opinions by the North Dakota Ethics Commission. This bill came from the interim Judiciary Committee during a study of Article XIV of the North Dakota Constitution, an initiated measure relating to ethics for public officials, candidates for elected office, and lobbyists.

This bill was suggested by the Executive Director of the North Dakota Ethics Commission at a meeting of the interim Judiciary Committee. There have been many questions about the scope of Article XIV of the North Dakota Constitution and what conduct may or may not be ethical. The bill provides a procedure for requesting written ethics advisory opinions from the North Dakota Ethics Commission.

The American Bar Association (ABA) and many State bar associations, including the State Bar of Association of North Dakota (SBAND), have procedures for the issuance of ethics opinions. This bill is modeled on the SBAND procedure. SBAND has an Ethics Committee to which requests for opinions can be submitted in writing. The requests are made for the purpose of obtaining interpretations of the rules based on actual or hypothetical facts.

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This bill is enabling legislation for ethics advisory opinions and provides that the North Dakota Ethics Commission has the authority to issue written advisory opinions in response to written requests from public officials, candidates for elected office, and lobbyists. Opinions can be based on hypothetical facts or prospective conduct, but not on past conduct. The issuance of an advisory opinion is discretionary with the Ethics Commission. Within 14 days after the receipt of a request for an opinion, the Ethics Commission must notify the requester whether an opinion will be provided. The Ethics Commission then has 90 days to issue the opinion.

The bill provides that criminal and civil penalties may not be imposed upon an individual who acts in accordance with an ethics advisory opinion if the individual acts in good faith and the material facts surrounding the action taken are substantially the same as the conduct presented in the opinion. The opinions are open records except names are exempt records. The opinions are required to be made available to the public on the website of the Ethics Commission.

This bill creates a safe harbor concerning the scope of Article XIV, the statutes, and the rules of the Ethics Commission relating to transparency, corruption, elections, and lobbying, and provides a means for obtaining written interpretations of that scope that the public and the regulated officials and lobbyists can rely on.

I would be happy to answer any questions that you may have concerning this bill. Thank you.

# TESTIMONY OF DAVE THIELE EXECUTIVE DIRECTOR, NORTH DAKOTA ETHICS COMMISSION BEFORE THE

# HOUSE GOVERNMENT AND VETERANS AFFAIRS COMMITTEE Engrossed SB 2034, March 12, 2021

Mr. Chairman and Members of the Judiciary Committee, my name is Dave Thiele, Executive Director of the North Dakota Ethics Commission. Engrossed SB 2034 is the result of a combined effort between Representative Klemin and the Ethics Commission to address a significant gap in the ability of the Commission to fulfil its constitutional mandate.

The ability to issue advisory opinions that can be relied on by public officials and then to publish opinions for education is an important aspect of an effective ethics program. Engrossed SB 2034 provides authority to the Commission to issue written advisory opinions in response to written requests from public officials, candidates for elected office, and lobbyists. Opinions can be based on hypothetical facts, but not on past conduct. The issuance of an advisory opinion is discretionary with the Ethics Commission. Within 14 days after the receipt of a request for an opinion, the Ethics Commission must notify the requester whether an opinion will be provided and then has 90 days to issue the opinion. The bill provides that criminal and civil penalties may not be imposed upon an individual who acts, in good faith, in accordance with an ethics advisory opinion. The opinions are required to be made available to the public on the website readily accessible to the public which will serve to educate other public officials. This language fills a gap concerning the scope of Article XIV, the statutes, and the rules of the Ethics Commission relating to transparency, corruption, elections, and lobbying, and provides a means for written interpretations of that scope that the public can rely on.

Mr. Chairman, Members of the Judiciary Committee, I would be happy to answer any questions.

#### **Title 115**

#### **ETHICS COMMISSION**

#### Article

115-01 Reserved

115-02 Complaints

115-03 Gifts

#### Chapter

115-03-01 Gifts between lobbyists and public officials

#### Section

115-03-01-01 Definitions

115-03-01-02 Gift Prohibitions and Penalties

115-03-01-03 Exceptions to gift prohibitions

115-03-01-04. Public or Private Event Notice

#### 115-03-01-01. Definitions

- "Immediate family" means a parent; sibling; child by blood, adoption, or marriage; spouse; grandparent or grandchild.
- 2. "Gift" means any item, service, or thing of value not given in exchange for fair market consideration, including gifts of travel or recreation.
- 3. "Lobby" means:
  - a. Attempts to secure the passage, amendment, or defeat of any legislation by the legislative assembly or the approval or veto of any legislation by the governor of the state.

- b. Attempts to influence decisions made by the legislative management or by an interim committee of the legislative management.
- c. Attempts to secure passage, amendment, or defeat of any administrative rule or regulation by any department, agency or body of the state's executive branch.
- d. Attempts to otherwise influence public official action or decision.
- 4. "Lobbyist" means a person who engages in activity that falls within the definition of the term "lobby" as defined in this rule but does not include:
  - a. a legislator.
  - b. A private citizen appearing on the citizen's own behalf.
  - c. An employee, officer, board member, volunteer, or agent of the state or its political subdivisions whether elected or appointed and, whether or not compensated, who is acting in that person's official capacity.
  - d. Invited by the chairman of the legislative management, an interim committee of the legislative management, standing committee of the legislative assembly or an official of any department, agency or body of the state's executive branch to appear before the legislative management, interim committee, or standing committee for the purpose of providing information.
  - e. A person who appears before a legislative committee for the sole purpose of presenting testimony on behalf of a trade or professional organization or a business or industry if the person is introduced to the committee by the lobbyist for the trade or professional organization or the business or industry.
  - f. These rules are not intended to expand the definition of who should be required to be registered as a lobbyist under NDCC 54-05.1-03.

- 5. "Person" means an individual, partnership, entity, political committee, association, corporation, cooperative corporation, limited liability company or other organization or group of persons.
- 6. "Private social and educational event" is any social and educational event that is held within the State and attendance is by invitation to State residents and others who are members, employees or affiliated with a sponsoring public or private organization, entity, or association. The event must include an educational component and not be limited to a social event. The lobbyist required to register under NDCC 54-05.1, and public officials may not be the only State residents in attendance.
- 7. "Public Official" means any elected or appointed official of the state's executive or legislative branch, including members of the ethics commission, members of the governor's cabinet and employees of the legislative branch.
- 8. "Public social and educational event" is any social and educational event that is held within the State and attendance is open to any State residents and others. The event must include an educational component and not be limited to a social event. The lobbyist required to register under NDCC 54-05.1, and public officials may not be the only State residents in attendance.

#### 115-03-01-02. Gift Prohibitions and Penalties.

- A lobbyist may not knowingly give, offer, solicit, initiate, or facilitate a gift to a public official in conjunction with any effort by the lobbyist to lobby the public official. A public official may not knowingly accept a gift from a lobbyist offered in conjunction with the lobbyist efforts to lobby the public official.
- 2. If a prohibited gift is received by a public official the gift will not be considered a violation if:
  - a. The gift is not used and is returned within ten (10) days after receipt of the gift or ten (10) days after learning that the gift is prohibited; or
  - b. The public official pays market value for within ten (10) days after receipt of the gift or ten (10) days after learning that the gift is prohibited.

3. The secretary of state shall assess a civil penalty upon any individual who violates this section. If the gift has a value of five hundred dollars or more, the civil penalty must be two times the value of the gift. If the gift has a value of less than five hundred dollars, the civil penalty must be no less than two times the value of the gift and may be up to one thousand dollars.

#### **115-03-01-03.** Exceptions to gift prohibitions. This rule does not preclude or apply to the following:

- a. A gift by a lobbyist who is a member of the public official's immediate family.
- b. Any item given where the public official is paying fair market value for the item.
- c. Purely informational material.
- d. A campaign contribution that is given in accordance with all applicable state laws rules, and regulations governing campaign contributions.
- e. Reimbursement or payment for transportation, lodging costs, and meal costs not to exceed rates as authorized under NDCC 44-08-04 and OMB Fiscal Policy #505 to facilitate attendance to a public or private educational and social event within the State, if the public official meaningfully participates in the event as a speaker or panel participant, presenter, or ceremonial event appropriate to the position, or if attendance is appropriate to the performance of official duties;
- f. Gifts or other things of value shared as a cultural or social norm as part of a public or private social and educational event; and,
- g. Food and beverage served for immediate consumption at any private or public social and educational event.

#### 115-03-01-04. Public or Private Event Notice.

Prior to a public or private social and educational event, the sponsor shall file notice with the Ethics
 Commission providing details regarding the planned event. Notice does not constitute approval of

- the event by the Ethics Commission. If the sponsor is concerned with compliance with North Dakota law and these rules, the sponsor may request an advisory opinion from the Ethics Commission.
- 2. No specific format of notice is required; however, it should include the event date, place, sponsor, educational purpose, estimated attendance and estimated cost per attendee.

# **2021 HOUSE STANDING COMMITTEE MINUTES**

#### **Government and Veterans Affairs Committee**

Pioneer Room, State Capitol

SB 2034 3/25/2021

Relating to written advisory opinions regarding ethics

Chairman Kasper opened the committee work meeting at 10:23 a.m.

Representatives	Roll Call
Representative Jim Kasper	Р
Representative Ben Koppelman	Р
Representative Pamela Anderson	Р
Representative Jeff A. Hoverson	Р
Representative Karen Karls	Р
Representative Scott Louser	Р
Representative Jeffery J. Magrum	Р
Representative Mitch Ostlie	Р
Representative Karen M. Rohr	Р
Representative Austen Schauer	Р
Representative Mary Schneider	Р
Representative Vicky Steiner	Р
Representative Greg Stemen	Р
Representative Steve Vetter	Р

# **Discussion Topics:**

• Committee action

Rep. Schauer moved Do Pass. Rep. Stemen seconded.

Representatives	Vote
Representative Jim Kasper	Υ
Representative Ben Koppelman	Υ
Representative Pamela Anderson	Υ
Representative Jeff A. Hoverson	Υ
Representative Karen Karls	Υ
Representative Scott Louser	Υ
Representative Jeffery J. Magrum	Υ
Representative Mitch Ostlie	Υ
Representative Karen M. Rohr	Υ
Representative Austen Schauer	Υ
Representative Mary Schneider	Υ
Representative Vicky Steiner	Υ
Representative Greg Stemen	Υ
Representative Steve Vetter	Υ

Motion passes. 14-0-0. Rep. Schauer is the carrier.

Chairman Kasper ended at 10:29 a.m.

Carmen Hart, Committee Clerk

#### REPORT OF STANDING COMMITTEE

Module ID: h\_stcomrep\_52\_002

**Carrier: Schauer** 

SB 2034, as engrossed: Government and Veterans Affairs Committee (Rep. Kasper, Chairman) recommends DO PASS (14 YEAS, 0 NAYS, 0 ABSENT AND NOT VOTING). Engrossed SB 2034 was placed on the Fourteenth order on the calendar.