

SENATE BILL NO. 2166

Introduced by

Senators DeMers, Kilzer, Lee

(At the request of the State Department of Health)

1 A BILL for an Act to create and enact chapter 23-01.3 and a new section to chapter 23-17.3 of
2 the North Dakota Century Code, relating to confidential or protected health information in
3 possession of a public health authority; and to provide a penalty.

4 **BE IT ENACTED BY THE LEGISLATIVE ASSEMBLY OF NORTH DAKOTA:**

5 **SECTION 1.** Chapter 23-01.3 of the North Dakota Century Code is created and
6 enacted as follows:

7 **23-01.3-01. Definitions.** As used in this chapter:

- 8 1. "Confidential information" includes any confidential record as defined in
9 subsection 3 of section 44-04-17.1, any protected health information, and any other
10 information declared confidential by law.
- 11 2. "Disclose" means to disclose, transfer, permit access to, or otherwise divulge
12 protected health information to any person other than the individual who is the
13 subject of that information and includes the initial disclosure and any subsequent
14 redisclosures of individually identifiable health care information.
- 15 3. "Law enforcement inquiry" means any executive branch investigation or official
16 proceeding inquiring into a violation of, or failure to comply with, any criminal or
17 civil statute or any regulation, rule, or order issued pursuant to such a statute.
- 18 4. "Nonidentifiable health information" means any information that would otherwise be
19 protected health information except that it does not reveal the identity of the
20 individual whose health or health care is the subject of the information and there is
21 no reasonable basis to believe that the information could be used to identify that
22 individual.
- 23 5. "Person" means a government, governmental subdivision of an executive branch
24 agency or authority, corporation, company, association, firm, partnership, society,

- 1 estate, trust, joint venture, individual, individual representative, tribal government,
2 and any other legal entity.
- 3 6. "Protected health information" means any information, including genetic
4 information, demographic information, and fluid or tissue samples collected from an
5 individual, diagnostic and test results, whether oral or recorded in any form or
6 medium, which:
- 7 a. Is created or received by a health care provider, health researcher, health
8 plan, health oversight authority, public health authority, employer, health or life
9 insurer, school or university; and
- 10 b. (1) Relates to the past, present, or future physical or mental health or
11 condition of an individual, including individual cells and their
12 components; the provision of health care to an individual; or the past,
13 present, or future payment for the provision of health care to an
14 individual; or the past, present, or future payment for the provision of
15 health care to an individual; and
- 16 (2) (a) Identifies an individual; or
17 (b) With respect to which there is a reasonable basis to believe that
18 the information can be used to identify an individual.
- 19 7. "Public health authority" means the state department of health, a local public health
20 unit, and any authority or instrumentality of the United States, a tribal government,
21 a state, or a political subdivision of a state, a foreign nation, or a political
22 subdivision of a foreign nation, which is:
- 23 a. Primarily responsible for public health matters; and
24 b. Primarily engaged in activities such as injury reporting, public health
25 surveillance, and public health investigation or intervention.
- 26 8. "School or university" means an institution or place for instruction or education,
27 including an elementary school, secondary school, or institution of higher learning,
28 a college, or an assemblage of colleges united under one corporate organization or
29 government.
- 30 9. "State" includes the District of Columbia, Puerto Rico, the Virgin Islands, Guam,
31 American Samoa, and the Northern Mariana Islands.

- 1 3. Any disclosure of protected health information under this section must be limited to
2 the minimum amount of information necessary to achieve the purposes of this
3 section.
- 4 4. A recipient of information pursuant to this section may use or disclose that
5 information solely to achieve the purposes of this section.
- 6 5. Nothing in this section permitting the disclosure of protected health information
7 may be construed to require that disclosure, unless disclosure is otherwise
8 required by law.
- 9 6. Protected health information disclosed under this section must be clearly identified
10 as protected health information that is subject to this chapter.

11 **23-01.3-05. Nonpublic disclosure in emergency circumstances.**

- 12 1. In the event of a threat of imminent physical or mental harm to the subject of
13 protected health information, a public health authority, in order to allay or remedy
14 that threat, may disclose protected health information about that subject to a health
15 care practitioner, health care facility, law enforcement authority, or emergency
16 medical personnel to protect the health or safety of that subject.
- 17 2. In the event of a threat of harm to an individual other than the subject of protected
18 health information, a public health authority may disclose protected health
19 information about that subject if:
- 20 a. There is an identifiable threat of serious disease, injury, or death to an
21 identifiable individual or group of individuals;
- 22 b. The subject of the protected health information has the ability to carry out that
23 threat; and
- 24 c. The disclosure of that information is necessary to prevent or significantly
25 reduce the possibility of that threat.
- 26 3. Any disclosure of protected health information under this section must be limited to
27 the minimum amount of information necessary to achieve the purposes of this
28 section.
- 29 4. A recipient of information pursuant to this section may use or disclose that
30 information solely to carry out the purposes of this section.

1 5. Protected health information disclosed under this section must be clearly identified
2 as protected health information that is subject to this section.

3 **23-01.3-06. Disclosure for law enforcement purposes.**

4 1. Notwithstanding any other law, a public health authority, or the agent of any such
5 entity, may disclose protected health information to a law enforcement authority if
6 the state health officer determines that:

7 a. The protected health information is necessary to a legitimate law enforcement
8 inquiry that has begun or may be initiated into a particular violation of a
9 criminal law or public health law being conducted by the authority; and

10 b. The investigative or evidentiary needs of the law enforcement authority cannot
11 be satisfied by nonidentifiable health information or by any other information.

12 2. If a public health authority discloses protected health information under this
13 section, that authority shall impose appropriate written safeguards to ensure the
14 confidentiality of the information and to protect against unauthorized or improper
15 use or disclosure.

16 3. Protected health information about an individual that is disclosed under this section
17 may not be used in, or disclosed to any person for use in, any administrative, civil,
18 or criminal action or investigation directed against the individual, unless the action
19 or investigation arises out of, or is directly related to, the law enforcement inquiry
20 for which the information was obtained.

21 4. When the matter or need for which protected health information was disclosed to a
22 law enforcement authority or grand jury has concluded, including any derivative
23 matters arising from that matter or need, the law enforcement authority or grand
24 jury must either destroy the protected health information, or return it to the person
25 from whom it was obtained.

26 5. To the extent practicable, and consistent with the requirements of due process, a
27 law enforcement authority shall redact personally identifying information from
28 protected health information prior to the public disclosure of that protected
29 information in a judicial or administrative proceeding.

30 6. Any disclosure of protected health information under this section must be limited to
31 the minimum amount of information necessary to fulfill the purposes of this section.

1 7. A recipient of information pursuant to this section may use or disclose that
2 information solely to fulfill the purposes of this section.

3 8. Protected health information disclosed under this section must be clearly identified
4 as protected health information that is subject to this chapter.

5 9. This section may not be construed to limit or restrict the ability of law enforcement
6 authorities to gain information while in hot pursuit of a suspect or if other exigent
7 circumstances exist.

8 **23-01.3-07. Disclosure of a public health incident.**

9 1. Notwithstanding any other law, the state health officer may disclose confidential
10 information or protected health information to a health care provider or the public if
11 the state health officer determines that:

12 a. Disclosure of information is required to prevent the spread of disease;

13 b. Disclosure of information is required to identify the cause or source of
14 disease; or

15 c. Disclosure of information is required to allay fear and aid the public in
16 understanding the risk of its exposure to disease.

17 2. The state health officer may disclose protected health information only to the extent
18 necessary to accomplish the purposes of this section, and may require any health
19 care provider receiving confidential or protected health information under this
20 section to keep that information confidential under written terms.

21 **23-01.3-08. Status of information in possession of a local public health authority.**

22 Any protected health information that is created or received by a local public health authority,
23 and that is submitted or is required to be submitted to the state department of health, is
24 confidential and subject to the protection of, and may be disclosed only as authorized by, this
25 chapter.

26 **23-01.3-09. Penalty for unauthorized disclosure.** A person who knowingly discloses
27 protected health information in violation of this chapter is guilty of a class A misdemeanor.

28 **SECTION 2.** A new section to chapter 23-17.3 of the North Dakota Century Code is
29 created and enacted as follows:

- 1 **Information confidential.** Information received under this chapter by the state
2 department of health, through inspection or otherwise, is confidential and may not be disclosed
3 except:
- 4 1. In a proceeding involving the question of license;
 - 5 2. In a judicial proceeding, upon a court order; or
 - 6 3. To a health or social services agency with specific responsibility for a patient's
7 care.